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实用专利或设计专利申请声明 (37 CFR 1.63) DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		Attorney Docket Number	
		First Named Inventor	
		LI, Pengcheng	
		Application Number	10/560,296
		Filing Date	Dec. 12, 2005
		Art Unit	
		Examiner Name	
<input type="checkbox"/> 初次备案时提交的声明 Declaration Submitted With Initial Filing 或者 OR <input checked="" type="checkbox"/> 初次备案后提交的声明 (需 要支付附加费 (37 CFR 1.16(f)) Declaration Submitted After Initial Filing (surcharge (37 CFR 1.16(f)) required)		Declaration Submitted After Initial Filing (surcharge (37 CFR 1.16(f)) required)	

本人兹此声明：(1) 每位发明人的住址、邮寄地址及公民身份即是下文他们姓名旁所列之信息；及

(2) 本人相信以下所列的发明人是本主题发明的最初和第一发明人。该发明人对所称的发明主张权利并要求获得专利。

I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and
(2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a
patent is sought on the invention filed.

LOW MOLECULAR WEIGHT CHITOSAN OLIGOSACCHARIDES AND ITS PREPARATION METHOD

(发明名称)
(Title of the Invention)

该发明的申请
the application of which

A 如下所附
is attached hereto

或者 OR

B 于 (月/日/年) _____, 为本发明申请而将成 PCT 国际

申请号码 PCT/CN2003/000847, 并于 (月/日/年) _____, 修订 (如适用),
was filed on (MM/DD/YYYY) Oct. 8, 2003, as United States Application Number or PCT International
Application Number 10/560,296, and was amended on (MM/DD/YYYY) Feb. 20, 2009, (if applicable).

本人兹此声明本人已经审阅并理解根据上文参考的任何修订文件进行修订的上述规范内容，包括权利主张。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any
amendment specifically referred to above.

本人承认有责任披露对专利性具有重要作用的信息，如 37 CFR 1.56 所定义，包括部分或全部申请案的信息，及在之前申请案的备案日期与部分或全部申请案的国家或 PCT 国际备案日期之间可用的信息。

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part
applications, material information which became available between the filing date of the prior application and the national or PCT International filing
date of the continuation-in-part application.

(第 1 页, 共 5 页)

[Page 1 of 5]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the
USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete,
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of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.
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声明 —— 实用专利或设计专利申请

DECLARATION — Utility or Design Patent Application

参与办公室允许查阅专利申请的授权书

Authorization To Permit Access To Application by Participating Offices

如果勾选此方框，下文签署者将此授予 USPTO 权力，向欧洲专利局 (EPO)、日本专利局 (JPO)、韩国知识产权局 (KIPO) 及任何其它知识产权办公室（对上述专利申请主张拥有优先权的外国申请所备案之外）查询上述专利申请。请参见 37 CFR 1.14(e) 第 (b)。如果申请人不希望 EPO、JPO、KIPO、WIPO 或其它知识产权办公室（对上述专利申请主张拥有优先权的外国申请所备案之外）查询上述专利申请，则不要勾选此方框。

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根据 37 CFR 1.14(h)(3) 的规定，对一份上述专利申请的查询将涉及以下方面：1) 上述备案的专利申请；2) 任何外国申请（如果某个满足 37 CFR 1.85 指定副本要求的外国申请已经在上述专利申请中备案，上述专利申请根据 36 U.S.C. 119(e)-(d) 对其主张优先权）；及 3) 在上述专利申请中要求利益的任何美国备案申请。

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified patent application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified patent application claims priority under 36 U.S.C. 119(e)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.85 has been filed in the above-identified patent application; and 3) any U.S. application-as-filed from which benefit is claimed in the above-identified patent application.

根据 37 CFR 1.14(e) 的规定，可以查询关于参与办公室允许查阅专利申请的授权书的签署日期。

In accordance with 37 CFR 1.14(e), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

外国优先利益主张

Claim of Foreign Priority Benefits

本人兹此根据 35 U.S.C. 119(e)-(d) 或 (f)、或 365(b) 的规定，对任何外国专利申请、发明人或植物育种者的权利证书主张外国优先利益，或根据 365(a) 的规定对指定除了美国以外至少一个国家的任何 PCT 专利申请主张外国优先利益。如下所列并已确认，通过勾选方框，对任何外国专利申请、发明人或植物育种者的权利证书，该在对本申请主张优先权之日之前备案的任何 PCT 专利申请主张外国优先利益。

I hereby claim foreign priority benefits under 35 U.S.C. 119(e)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

之前的外国申请号码 Prior Foreign Application Number(s)	国家 Country	外国备案日期 (月/日/年) Foreign Filing Date (MM/DD/YYYY)	未主张的优先权 Priority Not Claimed	是否附上核准副本? Certified Copy Attached?	
				是 YES	否 NO
03138817.8	China	July, 16, 2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

本文所附的补充优先数据表 PTO/SB/028 上列出了附加的外国申请号码。

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached herein.

声明 — 实用专利或设计专利申请
DECLARATION — Utility or Design Patent Application

我申请享受被美国法典第 35 节 119(e)列出的以下任何
美国临时申请的利益。

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

(申请案号)
(Application No.)

(申请日期)
(Filing Date)

(申请案号)
(Application No.)

(申请日期)
(Filing Date)

我申请享受按美国法典第三十五册第一千二十條或
365 款(c)項列出任何 PCT 國際申請所指定的美國列出
的以下任何美國申請書的利益。如果此申請書中提出的
每個權利要求的題目未按美國法規或是 PCT 國際申
請第三十五册第一千二十條第一段的要求在以前的美國
申請書中披露，則我有責任按照聯邦法規第三十七
册第一·五六(甲)條提供支持專利權的實質性資料。
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I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(申请案号)
(Application No.)

(申请日期)
(Filing Date)

(已授权)
(Granted, pending, abandoned)
(已公开)
(Published, filed, withdrawn)

(申请案号)
(Application No.)

(申请日期)
(Filing Date)

(已授权)
(Granted, pending, abandoned)
(已公开)
(Published, filed, withdrawn)

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请或公审的专利中引用了该申请，那么已公开的申请记录也会公之于众(见 37 CFR 1.14)。为专利局的公审提交的发票和信用卡数据表
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联邦法官记录系统 — 商务部 COMMERCEPAT 中，系统名称：专利申请文件 (Patent Application Files)。不在申请文件中保留的文件
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声明 —— 实用专利或设计专利申请
DECLARATION —— Utility or Design Patent Application

本人兹此声明，就我所知本文所做的所有声明都是真实的，关于信息和观点的声明也是真实的；而且作出这些声明的前提是本人知道根据 18 U.S.C. 1001 的规定，故意作出虚假声明及类似情况会受到罚款或监禁等惩罚，而此种故意作出的虚假声明可能会危及本申请或任何已签发专利的有效性。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

第一发明人姓名：		<input type="checkbox"/> 已为本未签名发明人备案了一份宣誓书	
NAME OF SOLE OR FIRST INVENTOR:		A petition has been filed for this unsigned inventor	
名字 (首名字和中间名(如果有)) Given Name (first and middle, if any):		姓氏 Family Name or Surname	LI
发明人签名 Inventor's Signature		日期 Date Sep. 17, 2009	
住址：城市 Residence: City	州 State	国家 Country	公民身份 Citizenship
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城市 City	州 State	邮编 Zip	国家 Country
Qingdao	Shandong	266071	China
<input checked="" type="checkbox"/> *本声明书 Additional inventors or a legal representative are being named on the Supplemental sheet(s) PTO/SB/02A or OSLR attached hereto.			

[第 4 页，共 5 页]
(Page 4 of 5)

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

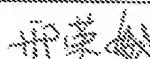
Page 5 of 5

Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor

Given Name (first and middle (if any)) Family Name or Surname

Ronge Xing

Inventor's Signature



Date Sep. 17, 2009

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Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor

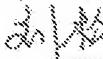
Given Name (first and middle (if any))

Family Name or Surname

Song

Liu

Inventor's Signature



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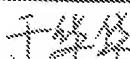
Given Name (first and middle (if any))

Family Name or Surname

Huahua

Yu

Inventor's Signature



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Residence: City

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